

1 **Micromechanical Modeling of Discontinuous Shear Thickening in Granular Media-Fluid**  
2 **Suspension**

3  
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14  
15 **Abstract**

16 Shear thickening in a fluid occurs when the viscosity of the fluid increases with  
17 increasing applied strain rate. When the rise in viscosity occurs by orders of magnitude, the fluid  
18 undergoes discontinuous shear thickening, which can be devastating in industrial applications.  
19 We present a particle-scale numerical technique that can simulate these phenomena. By coupling  
20 the discrete element method (DEM) and lattice Boltzmann method (LBM), we developed a  
21 micromechanical model that can simulate the inter-particle stresses for particles that are  
22 immersed in a fluid. A comparison of the simulation results against experimental results reported  
23 in the literature demonstrates the potential of the method as a research tool. The comparison  
24 included parametric studies to investigate the effects of solid fraction, particle-particle, and  
25 particle-wall contact stiffness. With a systematic variation of the wall stiffness, the DEM-LBM  
26 model demonstrates that increasing boundary stiffness directly increases the maximum shear

27 stress of the shear thickening regime. For the case of particles settling at low stresses, the DEM-  
28 LBM model has the advantage of providing insight into particle-scale interactions in a detail not  
29 possible using a continuum method based on phenomenological constitutive equations. We also  
30 show that the central mechanism creating the shear thickening is the dilation of the particulate  
31 media per traditional soil mechanics principles.

32

33 **Keywords:** Shear thickening fluid; Numerical modeling; Discrete Element Method; Lattice  
34 Boltzmann; dilation; Fluid Suspension.

35

## 36 **1. Introduction**

37 Shear thickening in a fluid occurs when the viscosity of the fluid increases as the applied  
38 shear stress or strain rate increases. Shear thickening is often observed in colloidal dispersions  
39 and densely packed suspensions [1-9]. At specific strain rate levels, the jump in viscosity can be  
40 discontinuous and quite dramatic. Shear thickening materials are important in the fields of shock  
41 absorption and dampers. These types of materials have been used to increase body armor  
42 strength and energy absorption [10]. The drastic increase in resistance leads to problems in  
43 industrial processing, such as jamming in extrusion through small openings [7]. Shear thickening  
44 can be masked by a yield stress increase caused by particle surface interactions, electric and  
45 magnetic fields, and boundary confinement [11].

46 The shear thickening can appear in continuous, inertial, and discontinuous form.  
47 Continuous shear thickening describes the increase in viscosity at low particle packing fractions,  
48  $\phi$ , and is generally mild, with only a few percent increase in viscosity [2,12]. Inertial shear  
49 thickening, observable even in simple Newtonian fluids such as water, occurs when the strain

50 rate is increased to very high values, and the increase in viscosity does not strongly depend on  
51 the solid fraction. Discontinuous shear thickening (DST) happens when the viscosity of the  
52 system suddenly increases by orders of magnitude with increasing stress. The transition from  
53 continuous to discontinuous shear thickening for non-Brownian suspensions is an important but  
54 not well-understood phenomenon [13]. Peters *et al.* [14] investigated the relationship between  
55 shear jamming and the onset of DST. An example of this type of shear thickener is a cornstarch-  
56 water mixture [3].

57         Recently, several experimental or numerical studies observed and investigated shear  
58 thickening (e.g., [3,5-6,8-9]). Brown and Jaeger [3] completed experiments showing the effects  
59 and behaviors of discontinuous shear thickening. DST occurs at a stress range that is mostly  
60 independent of solid packing fraction,  $\phi$  [15]. The sudden increase of viscosity only occurs once  
61  $\phi$  reaches 0.5 for nearly spherical particles [16], and DST is generally reversible. Important  
62 phenomena involved in DST include force chain formation and dilation. Force chains are  
63 discrete chainlike particle groups that carry the stronger normal contact forces and tend to align  
64 along principal stress trajectories [17-18]. Under simple shear conditions such as in shear bands  
65 or at the steady state condition in a parallel plate rheometer, the force chains create groups of  
66 jammed particles that transmit forces in direction corresponding to the applied shear [19]. When  
67 a granular material is sheared, the particles must move around each other and take up more  
68 volume than when settled, resulting in dilation [3].

69         Modeling of DST has focused in the areas of colloidal dispersions[2]. Other continuum-  
70 based models using phenomenological constitutive equations have been used to model DST  
71 [3,20]. Bian *et al.* [21] used smooth particle hydrodynamics to simulate the behavior of a  
72 suspension of particles. However, continuum modeling of DST poses a complex solid-fluid

73 interaction problem, the physics of which is better suited to discrete simulations of interparticle  
74 interactions in micro-scale. Recent work has been done on modeling DST with contact laws,  
75 such as the DEM, that include a hydrodynamic force term, which models the fluid phase  
76 [4,8,22]. Mari *et al.* [9] modeled shear thickening for Brownian suspensions by using a model  
77 that included contact laws, hydrodynamic lubrication forces, repulsive forces, and Brownian  
78 forces. However, these recent models do not model the fluid as a separate phase. To the authors'  
79 knowledge, such a micromechanical multiphase model has not been developed in any of the  
80 previous studies of shear thickening fluids.

81 To model both phases independently, we have developed a numerical model by coupling  
82 the DEM with the LBM to investigate DST mechanics at the particle scale. The DEM is used to  
83 locally and discretely solve the inter-particle interactions, whereas the LBM calculates the  
84 hydrodynamic contribution of the fluid. The DEM-LBM model creates a micromechanical model  
85 that can locally determine the inter-particle interactions and fluid-particle interaction to globally  
86 reproduce the observed shear thickening behavior. Instead of using an averaged effect of the  
87 particle interactions, interactions among particles are treated discretely. The potential of the  
88 proposed numerical model is demonstrated by comparison with the parallel plate rheometry  
89 experiment by Brown and Jaeger [3]. The DEM-LBM model is used to predict the viscosity of  
90 the system being sheared. The simulation is performed over a range of shear stresses to capture  
91 the stress scale, and for a different number of packing fractions to observe the discontinuity in  
92 bulk viscosity as the shear rate is increased. Other parameters such as particle-wall contact  
93 stiffness and inter-particle friction are also studied to determine their impact on dilative behavior.  
94 Previous modeling efforts have shown the effects of solid fraction and particle friction [4-6].  
95 Although the experimental tests reported by Brown and Jaeger [3] showed that increasing

96 boundary stiffness would increase the maximum shear stress that exhibits shear thickening, the  
97 current DEM-LBM modeling is the first, to the authors' knowledge, to present this phenomenon  
98 with a systematic variation of the wall stiffness.

## 99 **2. Formulation and Implementation of the DEM-LBM Model**

100 In recent years, coupling the DEM and the LBM has become a well-established method  
101 for solving many fluid-particle interaction problems in geomechanics (e.g., [23-26]). In this  
102 coupled method, the DEM resolves the inter-particle interactions, and the LBM solves the  
103 Navier-Stokes equations for fluid flow. Feng et al. [23] used the DEM-LBM to model a vacuum  
104 dredging system for mineral recovery, where particles were pulled through a suction pipe at  
105 turbulent Reynolds numbers. Lomine et al. [24] used the DEM-LBM to model piping erosion. In  
106 these simulations, 2D discs were placed in a rectangular domain, and a pressure gradient was  
107 applied to cause the flow of the fluid. The DEM-LBM is useful because both methods are local  
108 and employ explicit time integration, making them particularly suitable for parallelization [27].

109 The following sections briefly discuss the DEM and LBM formulations, boundary  
110 conditions, and coupling between the DEM and LBM which were used in this study. In general,  
111 the LBM calculates the forces exerted on the solid boundary by the fluid and passes the  
112 information to the DEM. Then, the DEM uses the total force on the solid boundary to integrate  
113 the equations of motion for the solid particles.

### 114 ***2.1. Discrete Element Method***

115 The DEM is a robust numerical method that was originally developed by Cundall and  
116 Strack [28] to simulate dry granular materials. Since then, the method and its subsequent  
117 developments have been extensively used for simulating various problems in geomechanics. The  
118 DEM treats particles as distinct interacting bodies that are governed locally by contact laws that

119 control particle interpenetration and dissipate energy. These contact laws can be determined by  
 120 independent laboratory investigations as described by Cole and Peters [29]. An example of a  
 121 contact law is the power law model that is evaluated for contact overlap [30] and is written as:

$$F_N = K_N \delta_n^m \quad (1)$$

122 where  $m=1$  for the linear contact law, and  $m$  is a power law parameter for the power law model.  
 123  $K_N$  is the normal stiffness and  $\delta$  is the penetration distance. In this study, simple linear contact  
 124 laws are used, but with differing moduli for loading and unloading to represent the energy  
 125 dissipation.

126 After determining the contact forces on each particle, the particle velocity and angular  
 127 rotation are determined by integrating Newton's equations of motion. The equations of motion  
 128 are expressed as:

$$m \frac{\partial v_i}{\partial t} = m g n_i^g + \sum_{c=1}^{N_c} f_i^c + F_F \quad (2)$$

129 and

$$I_m \rho \frac{\partial \omega_i}{\partial t} = \sum_{c=1}^{N_c} e_{ijk} f_j^c r_k^c + \sum_{c=1}^{N_c} M_i^c + T_F, \quad (3)$$

130 where  $m$  and  $I_m$  are the particle mass and moment of inertia respectively,  $g n_i^g$  the acceleration of  
 131 gravity,  $f_i^c$  and  $M_i^c$  the forces and moments applied at the contacts,  $F_F$  and  $T_F$  are the  
 132 hydrodynamic force and torque, respectively, and  $N_c$  the number of contacts for the particle. The  
 133 third term in Equation 3 represents the contribution of rolling resistance to model the effects of  
 134 shape for non-spherical particles [18]. However for the STF simulations, spherical particles  
 135 were modeled, and this term is equal to zero. Following Peters *et al.* [17], the particle stress  
 136 tensor and the average continuum stress in the solid fraction are defined as:

$$\sigma_{ij}^p = \frac{1}{V_p} \sum_{c=1}^{N^c} f_i^c r_j^c \quad (4)$$

$$\bar{\sigma}_{ij} = \frac{1}{V} \sum_{p=1}^{N^p} V_p \sigma_{ij}^p = \frac{V_s}{V} \langle \sigma_{ij}^p \rangle \quad (5)$$

137 where  $V$  is the total volume,  $V_p$  is the volume of each particle,  $V_s$  is the total particle volume,  $N^c$   
 138 is the number of contacts,  $N^p$  is the number of particles,  $f_i^c$  is the  $i$ th component of the force  
 139 acting at the contact,  $r_j^c$  is the  $j$ th component of the radius vector from the center of the particle to  
 140 the contact. The particle stresses are useful for identifying the particles transmitting higher than  
 141 average loads through force chains. The principal stresses of each particle are calculated by  
 142 finding the eigenvalues of the stress tensor. When showing force chains, the maximum  
 143 (compressive) value of the principal stresses is used. The average continuum stress is useful for  
 144 investigating the stress history of the system in the form of a stress path plot of the intergranular  
 145 stress,  $p$ , and the deviatoric stress,  $q$ , which are defined as:

$$p = \frac{S_1 + S_2 + S_3}{3} \quad (6)$$

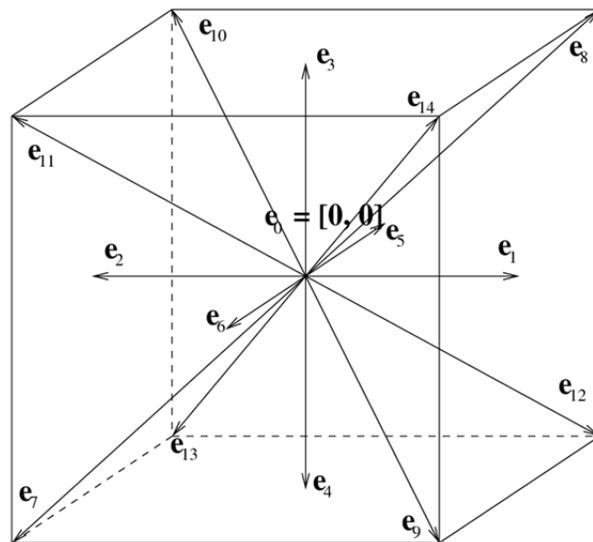
$$q = \frac{1}{\sqrt{2}} \sqrt{(S_1 - S_2)^2 + (S_1 - S_3)^2 + (S_2 - S_3)^2} \quad (7)$$

146 where  $S_1$ ,  $S_2$ , and  $S_3$  are the principal stresses of the average stress tensor. In the following  
 147 sections, the soil mechanics convention of taking compression as positive is used. Thus, when  
 148 dilation occurs it is a negative volume change that will produce a positive boundary stress which  
 149 is compressive.

## 150 **2.2. Lattice Boltzmann Method**

151 The LBM is a simulation technique commonly used for solving fluid flow and transport  
 152 equations (e.g., [31-33]). The LBM is developed based on Boltzmann's equation [34], which was

153 derived from the gas kinetic theory. In this method, a collision operator is employed to describe  
 154 the time and spatial evolution of a distribution function of particles. Boltzmann’s equation has a  
 155 direct relationship with the Navier–Stokes equations [35]. The LBM characterizes the fluid at  
 156 points located on a regular  $d$ -dimensional lattice. For a lattice representation  $DdQz$ , each point in  
 157 the  $d$ -dimensional lattice links to neighboring points with  $z$  links that correspond to velocity  
 158 directions. For example, the D3Q15 lattice in three dimensions uses fifteen velocity vectors  $\mathbf{e}_0$  to  
 159  $\mathbf{e}_{14}$ , as shown in Figure 1.



160  
 161 *Figure 1. D3Q15 lattice velocities. Lattice velocities  $e_5$  and  $e_6$  are positive and negative in the  $z$ -*  
 162 *direction, respectively.*

163 *2.2.1 Density distribution functions and their time evolution*

164 The primary variable of the LBM is the density distribution function  $f_i$ . For the D3Q15  
 165 lattice shown in Figure 1, density distribution functions  $f_0$  to  $f_{14}$ , corresponding to velocity  
 166 vectors  $\mathbf{e}_0$  to  $\mathbf{e}_{14}$ , represent portions of a local mass density moving into neighboring cells in the  
 167 directions of discrete velocities. The macroscopic fluid density  $\rho$  at each lattice point is a sum of  
 168 the distribution functions at that lattice point:

$$\rho = \sum_{i=0}^{14} f_i \quad (8)$$

169 Fluid velocity at the lattice point is a weighted sum of lattice velocities, with distribution  
 170 functions being the weight coefficients:

171

$$u = \frac{\sum_{i=0}^{14} f_i e_i}{\sum_{i=0}^{14} f_i} = \frac{\sum_{i=0}^{14} f_i e_i}{\rho} \quad (9)$$

172 where  $f_i/\rho$  ratio can be interpreted as a probability of finding a particle at a given spatial location  
 173 with a discrete velocity  $e_i$ .

174 Using the collision model of Bhatnagar-Gross-Krook (BGK, [36]) with a single  
 175 relaxation time, the time evolution of the distribution functions is given by

$$f_i(r + e_i \Delta t, t + \Delta t) = f_i(r, t) + \frac{1}{\tau_u} (f_i^{eq}(r, t) - f_i(r, t)), i = 0 \dots 14 \quad (10)$$

176 where  $r$  and  $t$  are the space and time position of a lattice site,  $\Delta t$  is the time step, and  $\tau_u$  is the  
 177 relaxation parameter for the fluid flow. The relaxation parameter  $\tau_u$  specifies how fast each  
 178 density distribution function  $f_i$  approaches its equilibrium  $f_i^{eq}$ . Kinematic viscosity,  $\nu$ , is related to  
 179 the relaxation parameter,  $\tau_u$ , the lattice spacing,  $\Delta x$ , and the simulation time step,  $\Delta t$ , by

$$\nu = \frac{\tau_u - 0.5 \Delta x^2}{3 \Delta t} \quad (11)$$

180 Depending on the dimensionality  $d$  of the modeling space and a chosen set of the discrete  
 181 velocities  $e_i$ , the corresponding equilibrium density distribution function can be found [37]. For  
 182 the D3Q15 lattice, the equilibrium distribution functions  $f_i^{eq}$  are

183

$$f_i^{eq}(r) = \omega_i \rho(r) \left( 1 + 3 \frac{e_i \cdot u(r)}{c^2} + \frac{9}{2} \frac{(e_i \cdot u(r))^2}{c^4} - \frac{3}{2} \frac{u(r) \cdot u(r)}{c^2} \right) \quad (12)$$

184 with the lattice velocity  $c=\Delta x/\Delta t$  and the weights

185

$$\omega_i = \begin{cases} \frac{2}{9} & i = 0 \\ \frac{1}{9} & i = 1 \dots 6 \\ \frac{1}{72} & i = 7 \dots 14 \end{cases} \quad (13)$$

186

187 Using the expansion proposed by Chapman and Cowling [38], it can be shown that LBM

188 Eqs. 8 to 13 provide an approximation of the incompressible Navier-Stokes Eqs. 14 to 15

189 without external forces:

190

$$\rho \left[ \frac{\partial u}{\partial t} + u \cdot \nabla u \right] = \nabla \cdot (\mu \nabla u) \quad (14)$$

$$\nabla \cdot u = 0 \quad (15)$$

191

192 where the  $\mu=\nu\rho$  is the dynamic viscosity of fluid. This approximation is valid in the limit of low

193 Mach number  $M=|u|/c_s$ , where  $c_s = c/\sqrt{3}$  is the lattice speed of sound.

## 194 2.2.2 Immersed moving boundary

195 The immersed moving boundary (IMB) technique [30, 39-40] allows solid boundaries to

196 move through the computational grid. The IMB method introduces a subgrid resolution at the

197 solid-liquid boundaries, resulting in smoothly changing forces and torques exerted by the fluid

198 on moving particles. The IMB introduces an additional collision operator  $\Omega_i^S$  expressing  
 199 collisions of solid particles with fluid as

$$\Omega_i^S = f_{-i}(r, t) - f_i(r, t) + f_i^{eq}(\rho, U_S) - f_{-i}^{eq}(\rho, u) \quad (16)$$

200 The time evolution of the density distribution functions in IMB includes  $\Omega_i^S$

$$f_i(r + e_i \Delta t, t + \Delta t) = f_i(r, t) + [1 - \beta(\epsilon, \tau)] \frac{1}{\tau} (f_i^{eq}(r, t) - f_i(r, t)) + \beta(\epsilon, \tau) \Omega_i^S \quad i = 0, 1, \dots, 14 \quad (17)$$

201 where the weighting factor  $\beta(\epsilon, \tau)$  depends on solid coverage  $\epsilon$  and relaxation parameter  $\tau$

$$\beta(\epsilon, \tau) = \frac{\epsilon}{1 + \frac{1 - \epsilon}{\tau - 0.5}} \quad (18)$$

### 202 2.2.3 Fluid force and torque

203 The total hydrodynamic force exerted by the fluid on a particle is calculated by summing  
 204 the momentum change at every lattice cell due to the new collision operator:

$$\mathbf{F}_F = \frac{\Delta x^3}{\Delta t} \sum_n \left( \beta_n \sum_{i=0}^{14} \Omega_i^S \mathbf{e}_i \right) \quad (19)$$

205 and the total hydrodynamic torque can then be calculated by:

$$\mathbf{T}_F = \frac{\Delta x^3}{\Delta t} \sum_n (\mathbf{r}_n - \mathbf{r}_c) \times \left( \beta_n \sum_{i=0}^{14} \Omega_i^S \mathbf{e}_i \right) \quad (20)$$

206 where  $\mathbf{r}_n - \mathbf{r}_c$  is the vector from the center of the particle to the center of the lattice cell.

207 It should be noted that the current DEM-LBM model does not explicitly account for  
 208 lubrication forces, so the LBM does not resolve the detailed particle-fluid-particle interactions  
 209 for small gaps. Feng and Michaelides [41] resolved this phenomenon by applying a strong  
 210 repulsive force if the gap between two particles becomes smaller than a given threshold value.  
 211 Alternatively, a “buffer zone” can be introduced at the location of the DEM contacts, where the  
 212 contact radius is marginally larger than the physical radius, and the effects of nodal conflicts can

213 be minimized [30]. Nevertheless, the DEM-LBM model presented here shows good agreement  
214 with experimental data, suggesting that the effect of lubrication force in the corresponding  
215 physical experiment can be considered negligible, although considerable study remains to be  
216 done on lubrications effects.

### 217 **2.3 Coupled DEM-LBM**

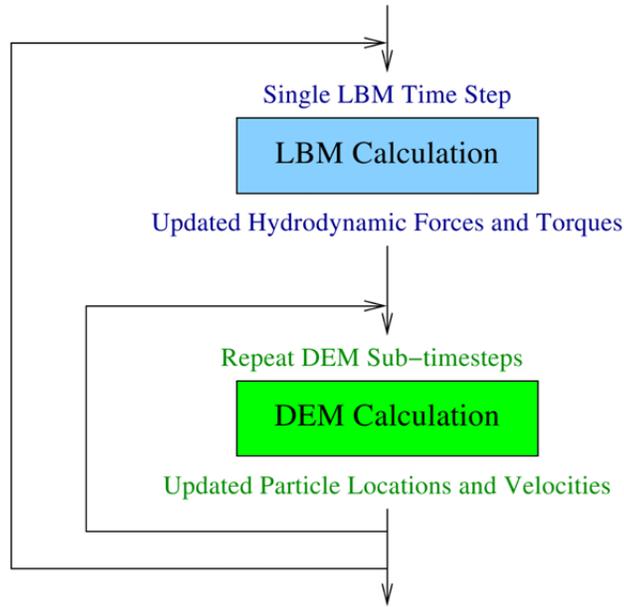
218 The LBM time step  $\Delta t$  is determined from the kinematic viscosity of fluid  $\nu$ , required grid  
219 resolution  $\Delta x$ , and constraints on the relaxation parameter ( $\tau > 0.5$ ) according to Eq. 11. The  
220 relaxation parameter must be chosen low enough to achieve a sufficient time resolution. An  
221 upper limit on the relaxation parameter is given by the low Mach number constraint. For DEM,  
222 the largest acceptable time step value is determined from the smallest particle mass  $m_i$  and the  
223 stiffest spring  $k_i$  in the system, given the frequency of fastest oscillations

$$\omega_{max} = \sqrt{\frac{MAX(k_i)}{MIN(m_i)}} \quad (21)$$

224 and their time period

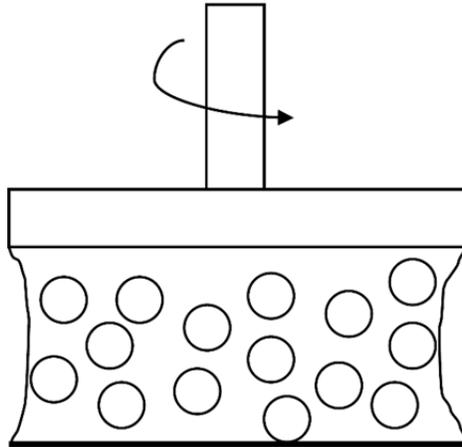
$$T_{min} = \frac{2\pi}{\omega_{max}} \quad (22)$$

225 In this work, the LBM time step is constrained to be greater than or equal to the DEM  
226 time step. Accordingly, the LBM time step is determined first, and then the DEM time step is  
227 adjusted to perform an integer number of substeps before performing the LBM calculation.  
228 During the DEM subcycling, the fluid forces and torques remain constant, and the fluid-solid  
229 boundary does not move. Therefore, care must be taken when deciding the number of DEM  
230 subcycles [30]. The DEM integrates the equations of motion, using the Velocity Verlet method.  
231 The sub-cycling process and updating of forces for each method can be seen in Figure 2.



232

233 *Figure 2. Diagram showing the sub-cycling process and updating of particle forces between the*  
 234 *DEM and LBM.*



235

236 *Figure 3. Experimental setup of a standard parallel plate rheometer.*

237 The DEM-LBM simulations completed in this study were performed on the Shadow  
 238 cluster at the Mississippi State University High Performance Computing Collaboratory. The  
 239 LBM portion of the algorithm was parallelized using spatial domain decomposition algorithm, as  
 240 described in [27]. Average computational time for the simulation utilizing 128 Intel Xeon E5-  
 241 2680 v2 processor cores was 72 hours.

### 242 3. Numerical Simulation of Shear Thickening and Validation

243 To investigate the validity of the proposed numerical model for simulating shear  
244 thickening, the results from the DEM-LBM model are compared against experimental results  
245 reported by Brown and Jaeger [3]. As shown in Figure 3, the experiments of Brown and Jaeger  
246 [3] were performed using a parallel plate rheometer. A shear stress, or strain rate, was applied to  
247 the top plate, which caused the shearing motion, and the global resistance of the system was  
248 measured using the following equation,

$$\eta = \frac{\tau}{\dot{\gamma}} \quad (23)$$

249 where  $\eta$  is the viscosity or mechanical resistance,  $\tau$  is the shear stress, and  $\dot{\gamma}$  is the strain rate. A  
250 stress above the shear-thickening domain was applied for at least 100 s, and then the stress was  
251 ramped down to the desired value. To reach a steady state, a ramp rate of 500 s per decade of  
252 stress was used. By measuring the velocity of the plate, the global viscosity of the system was  
253 calculated by Equation 23.

254 Brown and Jaeger [3] completed experiments for different particle sizes, solid fractions,  
255 and fluid types. For the DEM-LBM simulations, the 150  $\mu\text{m}$   $\text{ZrO}_2$  spheres immersed in mineral  
256 oil with a gap length of 890  $\mu\text{m}$  and solid fraction,  $\phi$ , of 0.53 was studied. By knowing the solid  
257 fraction, gap height, and particle radius, the number of particles was calculated. To create an  
258 initial configuration, the particles were first loosely packed, compressed to final dimensions, and  
259 allowed to settle to gravity. A sufficient amount of time was simulated to allow the damping of  
260 particle velocities to very small values. After achieving the stable initial configuration, the  
261 desired shear stress was applied to the top wall with the velocity of the wall being calculated by  
262 the DEM-LBM model. Spikes in the velocity profile due to random instabilities of particle  
263 contacts were smoothed out by time averaging before the viscosity values were calculated.

264 Most of the parameters used in the DEM-LBM model were specified by the experimental  
 265 data reported by Brown and Jaeger [3] and can be seen in Table I. The DEM parameters in Table  
 266 II were not explicitly available from experimental data, so the initial values of these parameters  
 267 were chosen by calibrating the model with the experimental data for the largest values of applied  
 268 stress. The LBM parameters such as lattice spacing and relaxation parameter were chosen as  
 269 reasonable values for the simulations. For example, the relaxation parameter must be above 0.5  
 270 and low enough that the simulation is stable. The grid spacing was chosen in order to provide an  
 271 accurate enough representation of the spherical particle boundary. The particle normal stress,  
 272 particle shear stress, and coefficient of restitution parameters were chosen so that particles  
 273 behaved reasonably, without large overlap when in contact. The remaining parameters were  
 274 adjusted to fit the experimental data. The effects of these parameters will be examined. Initial  
 275 values for parameters such as wall stiffness, particle friction coefficient, and wall friction  
 276 coefficient were discussed by Brown and Jaeger [3]. The experimental data suggested that it was  
 277 unnecessary to account for polydispersity, thus all particles in the DEM-LBM model have the  
 278 same radius. However, the value for the wall stiffness parameter was difficult to initialize;  
 279 therefore, trial and error calibration was used to best fit the experimental data for the highest  
 280 values of applied stress. Not knowing an appropriate starting value for the boundary stiffness led  
 281 to the parametric study for wall stiffness. The effects of varying the wall-particle stiffness and  
 282 particle-particle friction terms are examined in the parametric study. The results were most  
 283 sensitive to changes in these two parameters.

284 *Table I. Input parameters from the shear thickening experiment (data from [3]).*

Property	Units	Value
Particle Radius	$\mu\text{m}$	75

Particle Density	kg/m <sup>3</sup>	3900
Gap Height	μm	890
Fluid Viscosity	Pa-s	0.058
Fluid Density	kg/m <sup>3</sup>	870
Solid fraction	---	0.53

285

286

Table 2. Input parameters used in the DEM-LBM model

Property	Units	Value
Particle Normal Stiffness	N/m	1000
Particle Shear Stiffness	N/m	200
Coefficient of Restitution	---	0.2
Particle-Particle Friction	---	0.8
Wall Normal Stiffness	N/m	0.5
Wall Shear Stiffness	N/m	0.1
Wall-Particle Friction	---	0.8
Relaxation Parameter	---	0.9
Lattice Spacing	μm	18.5

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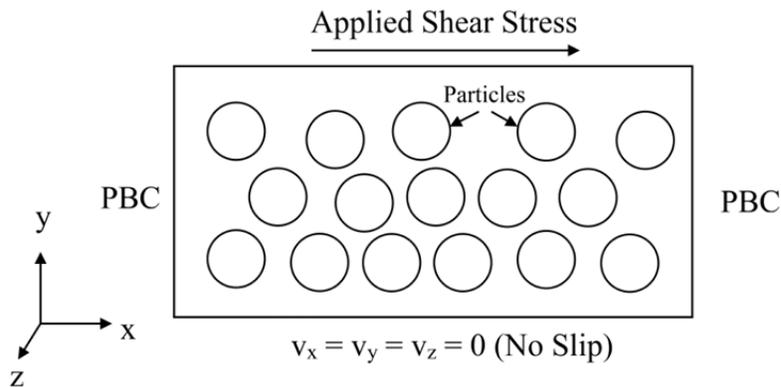
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291

The total volume of the system was established by setting the length of the loading direction to four times the gap height to avoid correlation effect from the periodic boundary condition. The depth of the system was set equal to the gap height. The number of simulated particles was 845. The LBM grid dimensions were 192×50×48 for the loading, gap height, and

292 the depth direction, respectively. These grid dimensions impose 8 lattice cells per particle  
293 diameter. The gap height included two more cells for the walls.

294 For the DEM-LBM model, the following approximations were used to simplify the  
295 model. The periodic boundary conditions were applied in the loading direction (x-axis) and in  
296 the thickness direction (z-axis), what is reasonable as long as the diameter of the plate is large  
297 relative to its height. By using periodic boundaries, the end effects, such as surface tension or  
298 solid wall confinement are assumed to be negligible. Also, the fluid phase for the suspension was  
299 assumed to behave as a Newtonian fluid. From the experimental data, the Reynolds number was  
300 always kept below 100 to avoid inertial effects. A no slip boundary condition is applied for the  
301 fluid flow at all particles boundaries and the wall boundaries. The experimental setup shown in  
302 Figure 3 was modeled with a rectangular domain with dimensions of 3.56 mm, 0.89 mm, and  
303 0.89 mm representing the x, y, and z-directions. The geometry and boundary conditions for the  
304 DEM-LBM model can be seen in Figure 4.

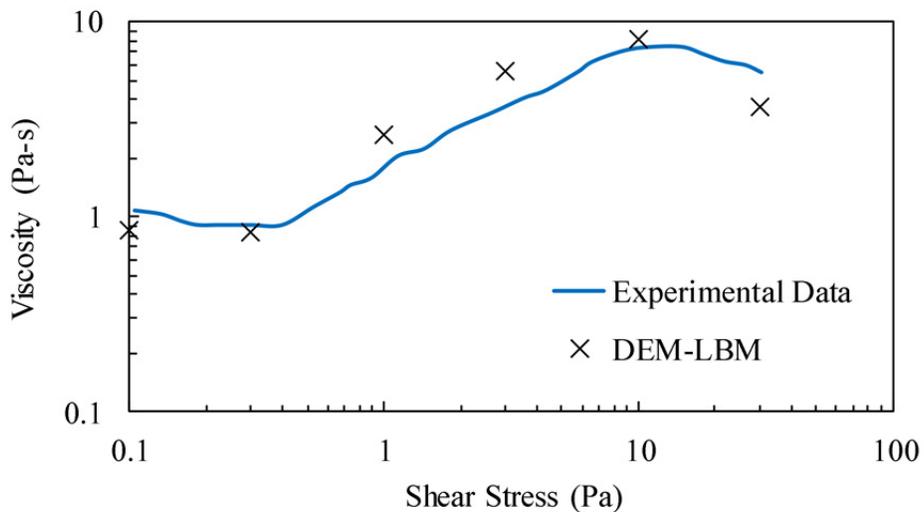


305

306 *Figure 4. Boundary conditions used for the DEM-LBM model. As shown, the top wall has an*  
307 *applied shear stress boundary condition, the bottom wall is fixed. Periodic boundary conditions*  
308 *(PBC) are applied in both x and z-directions. Both the top and bottom wall are flat plates with a*  
309 *friction parameter of 0.8. The LBM splits the domain into 192×50×48 lattice points in x,y, and z-*  
310 *directions.*

311

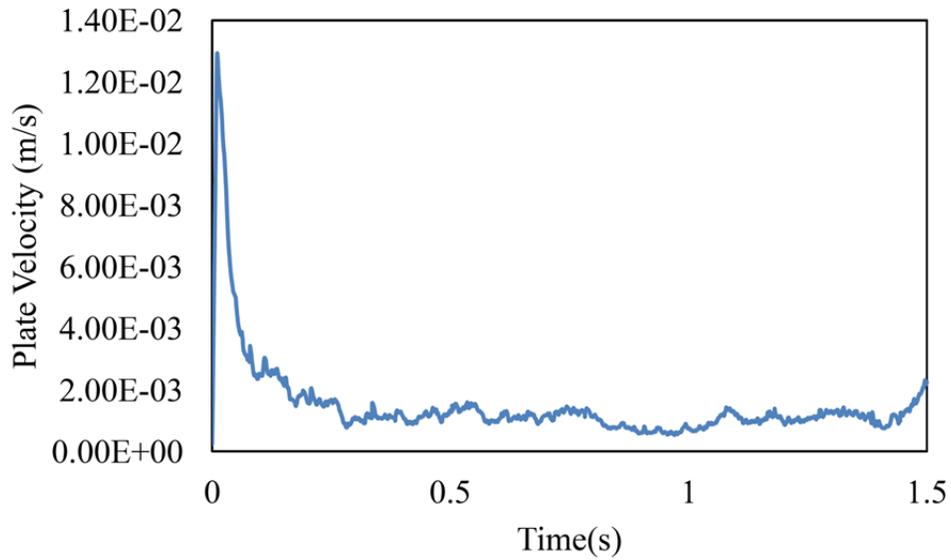
312 To fully evaluate the DEM-LBM model, several values of applied shear stress were  
313 chosen to span the range of the experimental data. The selected values were 0.1 Pa, 0.3 Pa, 1 Pa,  
314 3 Pa, 10 Pa, and 30 Pa. The DEM-LBM data are plotted against the experimental data showing a  
315 very good agreement, as seen in Figure 5. Each applied stress was simulated for 1.5 s, which was  
316 sufficient for each plate velocity to approach a steady state value. As an example, Figure 6 plots  
317 the plate velocity versus time for the applied stress of 10 Pa. The velocity used to determine the  
318 apparent viscosity of the system was obtained by time averaging.



319

320 *Figure 5. Viscosity-stress plot comparing the DEM-LBM results to the experimental data.*

321



322

323

*Figure 6. Plate velocity versus time for an applied stress of 10 Pa.*

324

325

Shear profiles were generated for each applied stress as seen in Figure 7 and compared to

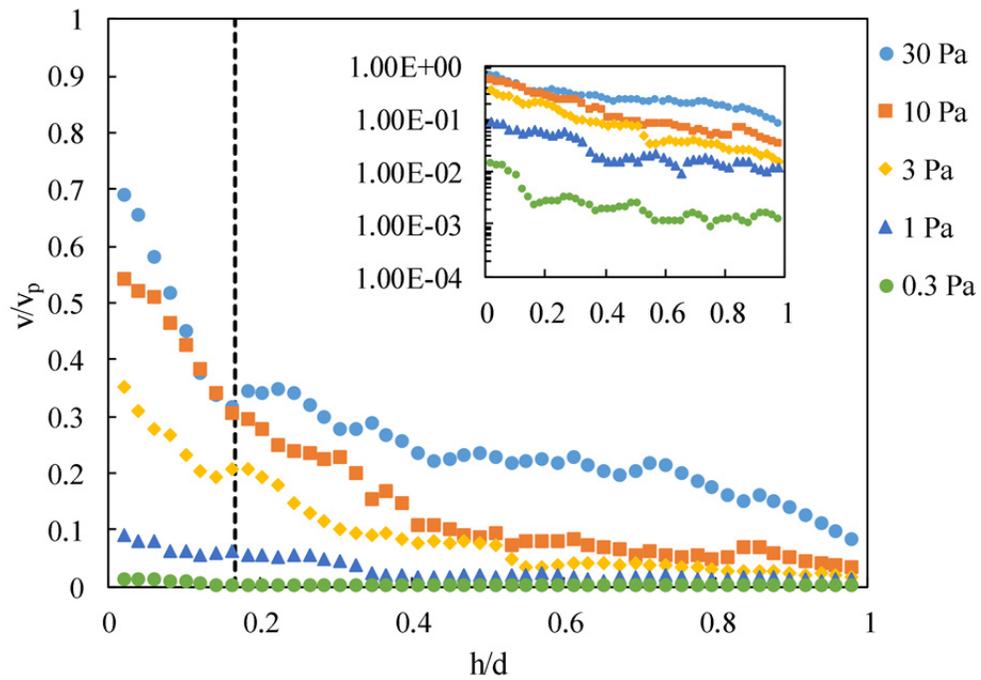
326

some experimental values [3] in Figure 8. These profiles were generated by plotting the average

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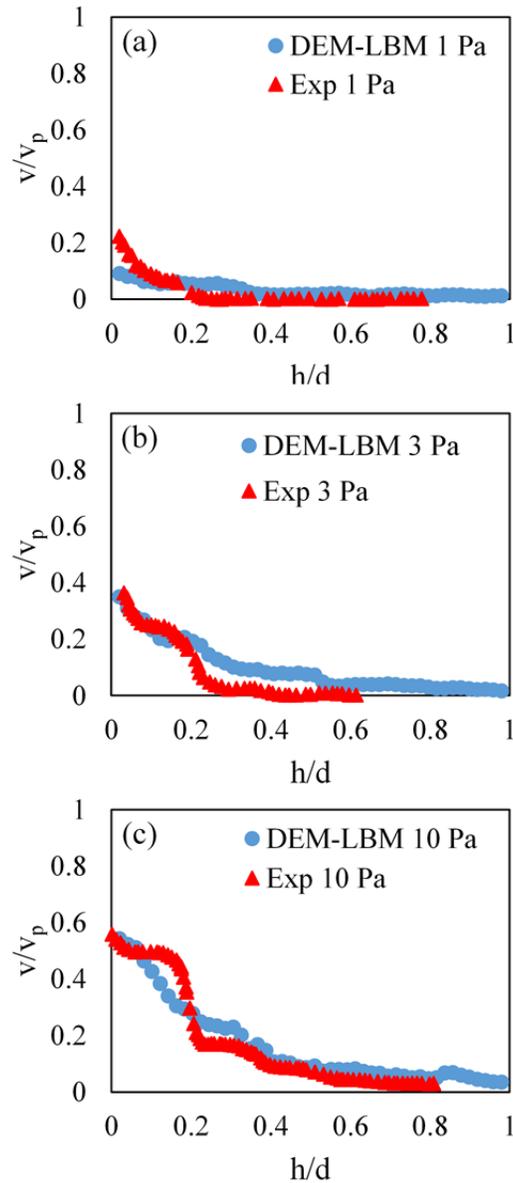
particle velocity as a function of the distance from the plate.

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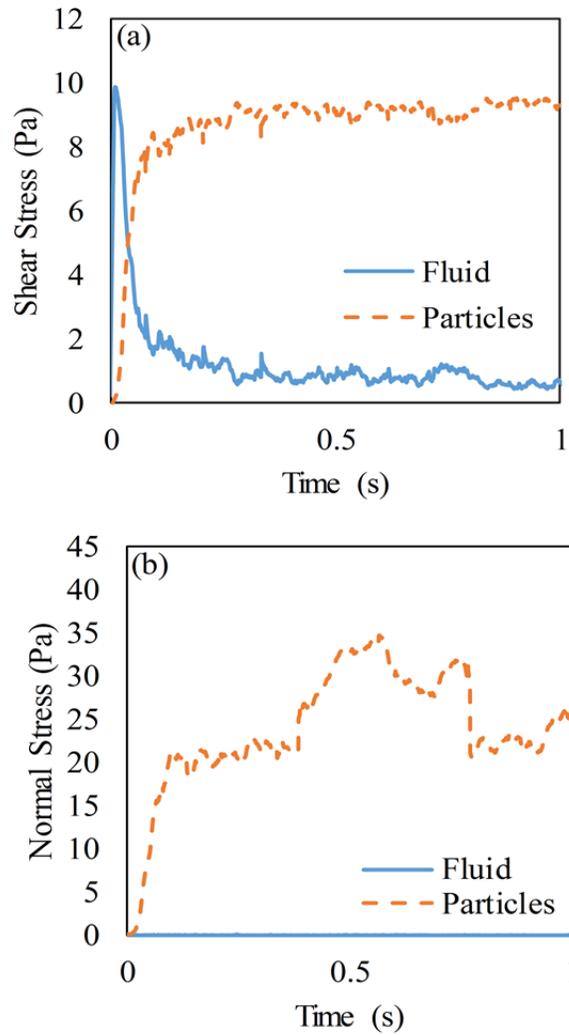
Figure 7. Shear profile for all applied stresses. The particle velocity,  $v$ , was normalized by the plate velocity,  $v_p$ , and the distance from the plate,  $h$ , was normalized by the gap width,  $d$ . The vertical dashed line shows the distance of 1 particle diameter. A log-linear plot is shown in the top right corner.



335  
 336 *Figure 8. Comparison of shear profiles at applied stress levels of a) 1 Pa, b) 3 Pa, and c) 10 Pa.*  
 337 *The particle velocity,  $v$ , was normalized by the plate velocity,  $v_p$ , and the distance from the plate,*  
 338  *$h$ , was normalized by the gap width,  $d$ . The experimental data is from [3].*  
 339

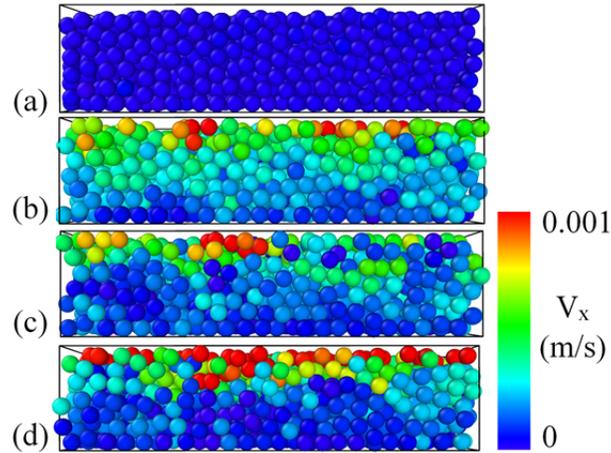
340 The effect of dilation on the system is illustrated in Figure 9, which shows the normal and  
 341 shear stresses on the moving wall from both the fluid phase and the solid phase. The figure  
 342 demonstrates that at the beginning of the simulation all of the stress is being transmitted through  
 343 the fluid phase, but once the particles begin to move, the particles bear the majority of the stress.

344 As the fluid begins to transmit the stress throughout the system, the particles begin to move and  
345 dilate, which can be seen by the increase in normal stress. The normal stress exhibits fluctuations  
346 because of random instabilities when some particles come in and out of contact with the wall.  
347 The stress on the moving wall from each phase was calculated by taking the normal and shear  
348 forces of the respective phases and dividing by the surface area of the wall. For the DEM, this  
349 force was the sum of all forces exerted by the particles in contact with the wall, and for the LBM,  
350 this force was the total hydrodynamic force exerted by the fluid to the wall as calculated by  
351 Equation 19. From Figure 9, the final value for total shear stress balances the applied stress of 10  
352 Pa, and the final normal stress is approximately double the applied shear stress. The horizontal  
353 velocity profile of the particles is visualized in Figure 10.



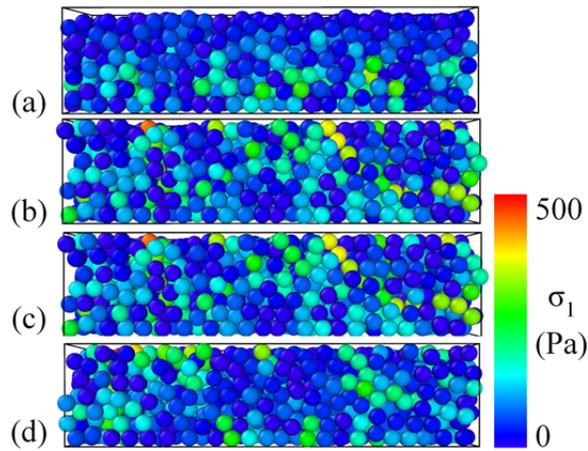
354  
 355 *Figure 9. a) Shear stress plots for an applied wall stress of 10 Pa. b) Normal stress plots for an*  
 356 *applied stress of 10 Pa. The normal stress due to the fluid's contribution was zero for the entire*  
 357 *simulation. The stresses shown represent the wall exerting the stress onto the system, which is*  
 358 *balanced by a reaction forces exerted by both fluid and particles on the wall.*

359



360  
 361 *Figure 10. Visualization showing the particles at time step of a) 0.0s, b) 0.5s, c) 0.75s, and d)*  
 362 *1.5s for an applied stress of 10 Pa. The color corresponds to the particle velocity in the*  
 363 *horizontal direction with the range based on the average plate velocity for 10 Pa.*

364

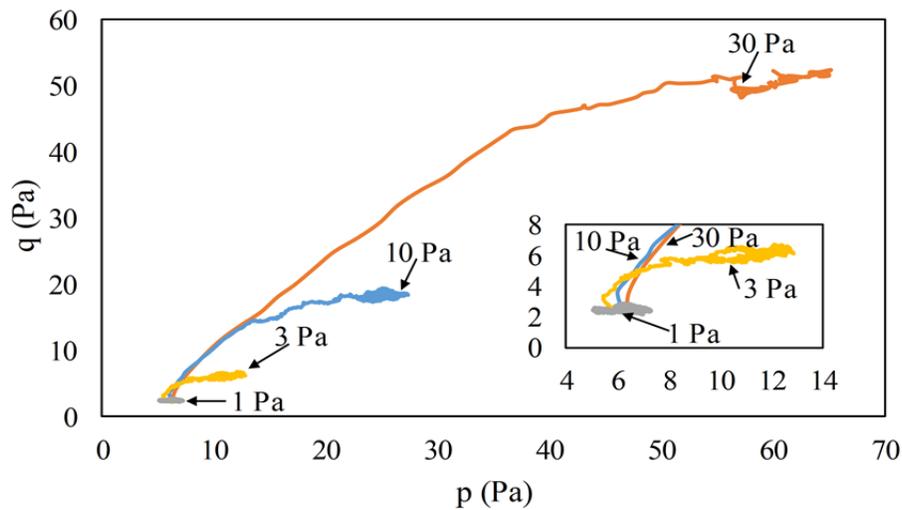


365  
 366 *Figure 11. Visualization showing maximum compressive stress of each particle for a)0.0s b)0.5s*  
 367 *c)0.75s and d)1.5s.*  
 368

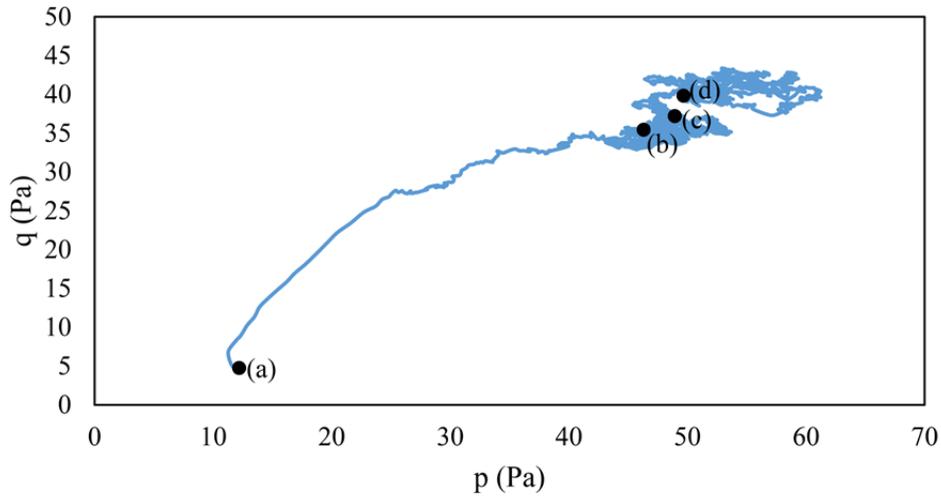
369         Figure 10 demonstrates all stages of the shear thickening. Starting in the settled initial  
 370 configuration at 0 s, the particles are not in contact with the top plate. Once the hydrodynamic  
 371 stress becomes large enough to move the particles, the particles displace into the void space near  
 372 the top plate. Once the particles fill the top void space, the particles begin to resist the motion of  
 373 the plate and begin to jam as seen in Figure 10b. For this applied shear stress, the hydrodynamic  
 374 stresses are large enough to overcome the inter-particle stresses and move the particles closest to

375 the moving wall, Figure 10c. Because the particles are displaced, more voids are created  
376 throughout the system, and gravity forces the particles to fill the voids [3].

377 To demonstrate the effect of particle contacts, a configuration of the particles at 0s, 0.5s,  
378 0.75s, and 1.0s of simulated time is shown in Figure 11. Each particle is assigned a color  
379 corresponding to the maximum (principal) compressive particle stress as computed from the  
380 contact forces. The formation of force chains is evident where the higher stresses are  
381 concentrated in a chain like formation surrounded by “observer” particles with relatively small  
382 compressive stress. The stress history of the simulations is shown by plotting the stresses  $p$  and  
383  $q$ , calculated by Eqs. 6 and 7, as seen in Figure 12. Also, to compare with Figures 10 and 11, the  
384 stress path for an applied stress of 10 Pa is shown in Figure 13.



385  
386 *Figure 12. Stress path plots for different values of applied shear stress. The inset plot magnifies*  
387 *q values in the low stress range.*  
388



389

390 *Figure 13. Stress path plot for an applied stress of 10 Pa. The black dots represent the stresses at*  
 391 *times a) 0.0s, b) 0.5s, c) 0.75s, and d) 1.0s.*

392

393

#### 394 4. Parametric Studies

395 The DEM-LBM model was shown to yield realistic results in the previous section, thus

396 providing a tool to further explore the DST phenomenon further through a parametric study. The

397 purpose of this parametric study was to address particular issues that are difficult to determine

398 from the existing DST experimental data. Whereas the experimental data provides great insight

399 into DST, some aspects still remain unclear since certain measurements are not or cannot be

400 made during the experimental tests. Most notably, the DEM-LBM model can separate the liquid

401 and solid phase contributions to stress. It can provide detailed force-displacement

402 micromechanical data which cannot be measured in experiments. Further, compared to

403 alternative numerical models (e.g., Fernandez et al. 2013, Seto et al., 2014), the DEM-LBM

404 model can properly deal with situations where particles settle at low stress due to high density of

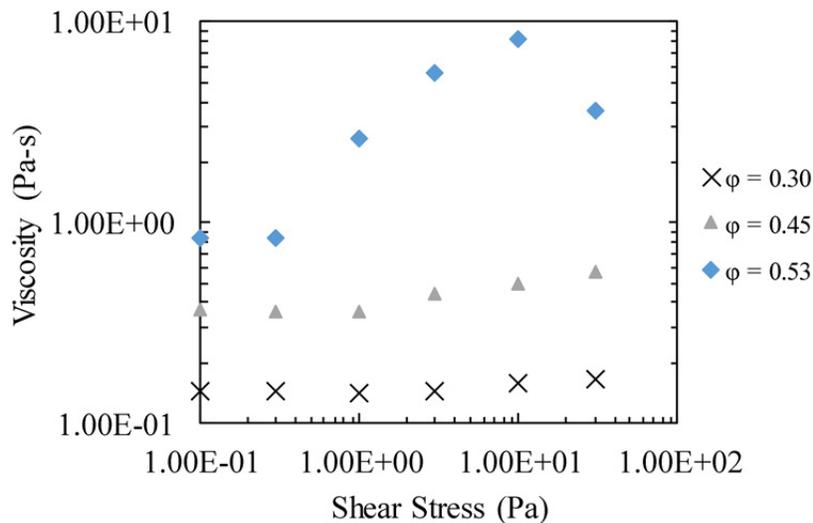
405 solid phase. In addition, the importance of some parameters was not anticipated at the time. The

406 advantage of realistic numerical simulations is that quantities difficult to measure experimentally

407 can be determined at high resolution by simulation, thus permitting better understanding of  
408 physical mechanisms involved. The following sections present simulation results and discuss the  
409 DEM-LBM model response for different soil fraction, particle-wall contact stiffness and particle  
410 friction. The model described in the previous section was used as a reference. Each parameter of  
411 interest was varied while the remaining parameters were kept constant.

#### 412 **4.1. Solid fraction**

413 The first parameter studied was the solid fraction of particles in the system. Since this  
414 parameter represents the number of particles or the amount of solid present, the system will  
415 behave more like a solid with increasing solid fraction. Since the Reynolds number is so low, the  
416 particle fraction of 0.0, fluid only, shows Newtonian behavior, which is the assumption in the  
417 DEM-LBM model. Since the initial system had a solid fraction of 0.53, the values of 0.45 and  
418 0.3 were chosen to show the lower limit and an intermediate value.



419

420

*Figure 14. Viscosity versus shear stress plot for different values of solid fraction*

421

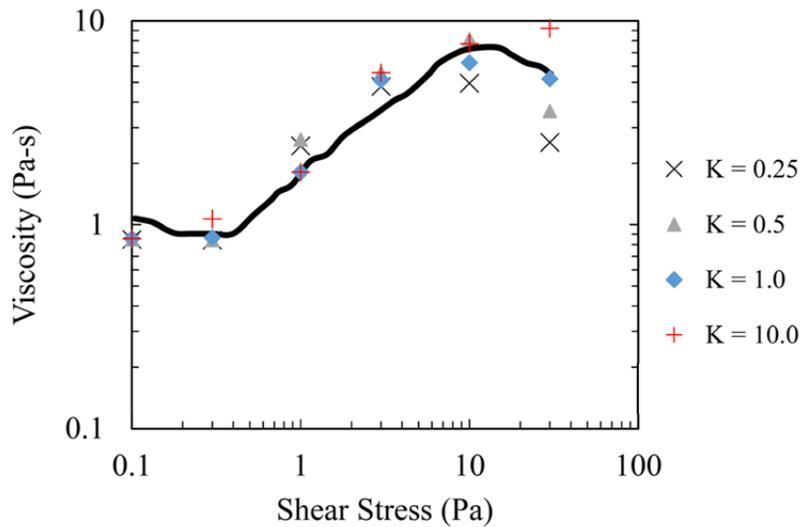
422 Figure 14 shows a dependence of calculated viscosity values on the applied shear stress  
423 for solid fractions of 0.3, 0.45, and 0.53. For a fixed distance between the rotating plates,  
424 increasing the number of particles increases the solid fraction and presumably the amount of  
425 shear thickening. From Figure 14, the higher the solid fraction, the more particles are involved,  
426 and the more stress can be transmitted throughout the system. Also, at the low solid fraction  
427 values, only marginal shear thickening is observed, which agrees with experimental data.

#### 428 ***4.2. Particle-Wall Contact Stiffness***

429 To increase the maximum value of applied shear stress that exhibits shear thickening,  
430 either the boundary stiffness or equivalently, the confining pressure must be increased. Brown  
431 and Jaeger [3] showed that increasing the stiffness of the confining walls in their experiments  
432 increased the maximum shear stress range. For the DEM-LBM model developed in the current  
433 study, the viscosity of the system at higher applied stresses was increased by increasing the  
434 stiffness parameter that governs the contact between wall and particle, consequently increasing  
435 the shear thickening stress range.

436 The initial value of the wall stiffness was 0.5 N/m. The small values of wall-particle  
437 stiffness used in the DEM-LBM can be attributed to the fact that the experiment setup has a solid  
438 fluid interaction boundary. To see the effects of changing the wall stiffness, values of 0.25 and  
439 1.0 N/m were applied. The results are shown in Figure 15. By decreasing the stiffness from 0.5  
440 to 0.25 N/m, the maximum value for viscosity is noticeably decreased and seems to occur at  
441 lower values of applied shear stress. The system with lower wall stiffness shows the transition  
442 between shear thickening and thinning occurs between 3 and 10 Pa., which is earlier than the  
443 system with original wall stiffness value of 0.5 N/m. By increasing the wall stiffness to 1.0 N/m,  
444 the viscosity-stress curve shows little change, except at the final value of applied stress of 30 Pa,

445 where the amount of shear thinning is reduced. The extension of the stress zone that shows shear  
 446 thickening becomes most evident when the wall stiffness was increased to a value of 10.0 N/m  
 447 for which the system shows shear thickening even at the applied stress of 30 Pa, where no other  
 448 boundary stiffness exhibits thickening. From these data, the wall-particle stiffness parameter in  
 449 the DEM-LBM model seems to control the maximum applied shear stress that induces shear  
 450 thickening, but this parameter does not increase viscosity of the system beyond a certain applied  
 451 shear stress threshold, which agrees with Brown and Jaeger's [3] observations.

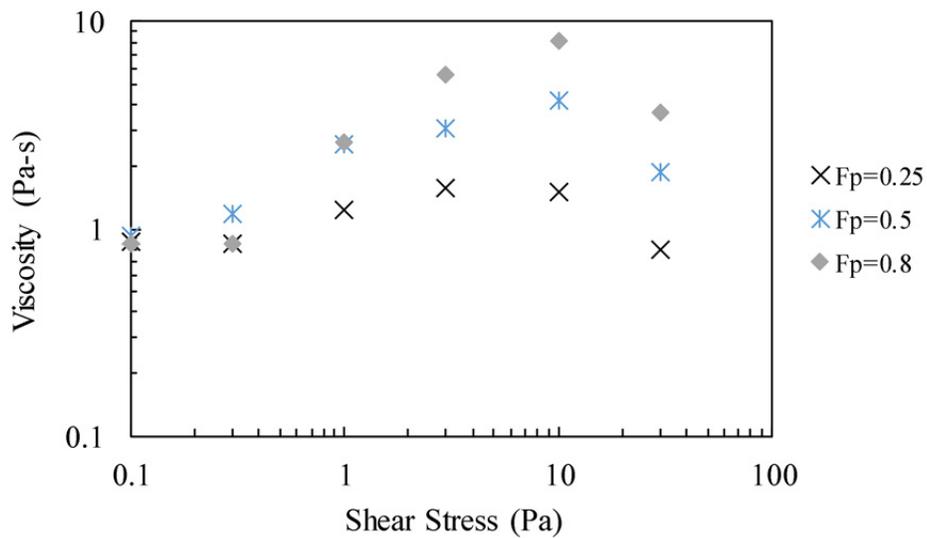


452  
 453 *Figure 15. Viscosity versus shear stress plot for parametric study of wall-particles stiffness.*  
 454

### 455 **4.3. Particle Friction**

456 The friction from the inter-particle interactions determines the ability to maintain force  
 457 chains when the system is subjected to shear loading. Initially, the value of 0.8 was applied. This  
 458 value was chosen as the maximum value, and the values of 0.25 and 0.5 were examined in  
 459 addition. New initial configurations were created to account for the differences in settling due to  
 460 changes in particle friction.

461 The results of changing the inter-particle friction parameter can be seen in Figure 16. The  
 462 general behavior of the viscosity-stress curves displayed similar trends for all friction values,  
 463 although the maximum value of viscosity was greatly decreased with decreasing friction. The  
 464 expectations for this parameter study were that once the friction coefficient was reduced  
 465 sufficiently, the particle-fluid solution would collapse. From Figure 16, as the friction parameter  
 466 is increased from 0.25 to 0.5, the curves seem to be approaching the values at 0.8 Also, as the  
 467 friction decreases, the system begins to behave like a Newtonian fluid – showing little shear  
 468 thickening for this range of applied shear stress [4,9].



469  
 470 *Figure 16. Viscosity versus shear stress plot for different values of inter-particle friction*  
 471 *coefficient ( $F_p$ ).*

472 **5. Discussion**

473 Motivation for this study arose from previous cases in geomechanics where the DEM was  
 474 used to model an experiment where dilation was a key phenomenon (e.g., Peters and Walizer,  
 475 2013). Since the dilation phenomenon has been a focus in descriptions of shear thickening fluid,  
 476 the current DEM-LBM model proved to be a good fit for this type of simulation. The DEM-  
 477 LBM model that was developed in this study provides a robust tool to determine the forces being

478 exerted by the fluid phase and the solid phases separately, a feature that is not feasible in an  
479 experimental setup.

480 As expected in the shear thickening simulations, the fluid and solid phases have different  
481 roles in contributing to DST. At the low end of the applied stress range, the hydrodynamic  
482 stresses are not large enough to even move the particles, and the fluid contributes all of the  
483 resistance in the system. However, when the hydrodynamic stresses become large enough to  
484 move the particles, the inter-particle friction forces dominate the system's resistance, as seen in  
485 Figure 9. For the shear thickening to occur, the confining boundary stresses must be larger than  
486 these inter-particle stresses. Therefore, the role of the LBM fluid phase is to carry the stresses  
487 through the particles, and the role of the DEM particles is to transfer forces through the solid  
488 phase once sufficient dilation has occurred.

489 Since Brown and Jaeger [3] reported large differences in viscosity for different loading  
490 durations, the particle velocities for the DEM-LBM model were compared to the experimental  
491 results. In the region to the left of the dashed line in Figure 7, the DEM-LBM model captures the  
492 abrupt change in the velocity profile. The step-like layering can also be observed in the bulk  
493 region, region to the right of the dashed line, but the layering is not as pronounced as the  
494 experimental data. For the applied stresses of 1 Pa, 3 Pa, and 10 Pa, the DEM-LBM data was  
495 directly compared to the velocity profiles generated by Brown and Jaeger [3]. The model's  
496 results show overall good agreement to the experimental data, but some slight differences can be  
497 observed. For example in Figure 8.c, the model does not quite capture the discontinuity as  
498 quickly as the experimental method. These differences could be explained by the different  
499 loading conditions and by the methods used for measuring the average particle velocity.

500 As Brown and Jaeger [3] discussed, dilation seems to accompany the shear thickening.  
501 To interpret the role of friction we consider the intergranular stress, where intergranular implies  
502 that component of total stress transferred through solid-on-solid contacts between particles. From  
503 inspection of the simulated stress paths, as the particle mass is sheared, the fluid pressure drops  
504 causing an increase in intergranular stress. Thus the particle mass is stiffened. Whether dilation is  
505 a sufficient, necessary, or merely attendant condition is an open question. The geomechanical  
506 dilation has already been successfully simulated with the DEM under imposed constant-volume  
507 constraint (Peters *et al.* 2013), and appears to be important in the shear thickening behavior as  
508 well. As seen in Figures 10 and 11, the DEM-LBM model allows the particles to dilate when  
509 sufficient stress is applied. At the beginning of the simulations, the particles are settled.  
510 Throughout the simulation, the particles displace and expand the volume according to geometric  
511 constraints and the applied stresses. An interesting picture emerges from the average solid stress  
512 state, as shown in Figure 12, where the stresses  $p$  and  $q$  are plotted. The relationship between  $p$   
513 and  $q$  follows that found for dilatant soils in undrained triaxial shear tests in soil mechanics. The  
514 degree to which the intergranular stress  $p$  increases depends on the applied shear stress. It  
515 appears that this increase in  $p$  occurs early as the particles are engaging the plate.

516 As shown in the parametric study, a number of parameters affect the amount of shear  
517 thickening that occurs in the simulations:

- 518 • First, the solid fraction effects on the intensity of shear thickening were examined. Below  
519 certain solid fraction, the system shows no shear thickening. The trend from the DEM-  
520 LBM model, seen in Figure 14, matches the behavior seen in the experimental data by  
521 Brown and Jaeger [3].

- 522
- Second, the effect of the stiffness of the wall-particle interaction on the range of the  
523 applied stress where shear thickening occurs was evaluated. Brown and Jaeger [3]  
524 reported a linear dependence between the confining stiffness and the maximum shear  
525 thickening stress. From Figure 15, the DEM-LBM model shows that increasing the wall-  
526 particle stiffness for the confining walls shifted the viscosity-stress curves. Changing the  
527 wall-particle contact stiffness did not increase the viscosity of the system, but it did  
528 change the stress scale. Although the particular values chosen for the DEM-LBM wall  
529 stiffness were much lower than that of a typical parallel plate setup with metal plates on  
530 the boundary, the wall stiffness values were a better match for the values for boundary  
531 stiffness related to the confining effects due to surface tension [3]. Therefore, the DEM-  
532 LBM model matches the experimental data by the DEM-LBM effectively matching the  
533 softer boundary condition. Interestingly, the viscosity curves vary significantly when the  
534 applied stress values are greater than 10 Pa. By increasing  $K$ , the maximum shear stress  
535 for shear thickening was increased. Therefore, the systems with the lower  $K$  show shear  
536 thinning above the 10 Pa applied stress, while the systems with the higher values of  $K$   
537 show shear thickening. This change from shear thinning to shear thickening causes the  
538 large differences for stresses above 10 Pa.
- Third, the effects of friction coefficient governing inter-particle contacts on the amount of  
539 shear thickening were analyzed. For the DEM-LMB model, increasing the friction  
540 between particles increased the total amount of shear thickening but did not affect the  
541 range of stresses where shear thickening was observed. As the friction decreased, the  
542 system approached Newtonian fluid behavior.
- 543
- 544

545 The current version of the DEM-LBM model is limited to low Reynolds number flows for  
546 larger particles, where the Brownian forces are negligible. By eliminating the inertial and size  
547 effects, the effects of dilation, inter-particles stresses, and boundary confinement were the focus  
548 of this study. However, the DEM-LBM model could be modified to accommodate high Reynolds  
549 number flows, where inertial effects would be present in the fluid phase, and small-particle  
550 suspensions, where Brownian forces would be present (see Yeoh et al. 2013). The current study  
551 dealt with situations where particles settle at low stress. It is noted that further research is needed  
552 to assess the performance of the DEM-LBM model for simulating cases where the lubrication  
553 forces are expected to dominate. Further, while beyond the scope of the current study, it is  
554 worthy to use the DEM-LBM model in future research in an attempt to numerically simulate  
555 shear thickening of the soil-fluid mixture in the absence of gravity. The presented DEM-LBM  
556 modeling effort was carried out in the presence of gravity. One may argue that the strong  
557 influence of gravity in such a system results in a segregated flow at low shear rate or shear stress,  
558 and a well-mixed state due to resuspensions at high shear rate.

## 559 **6. Summary and Conclusions**

560 By coupling the discrete element method (DEM) and lattice Boltzmann method (LBM),  
561 the phenomenon of shear thickening in particle suspensions was successfully modeled. The  
562 results of the DEM-LBM model were shown to be realistic by comparing with experimental data  
563 for spherical glass particles immersed in oil. By keeping the Reynolds number low and particle  
564 sizes in an appropriate range, inertial effects and size effects were minimized. With this criteria,  
565 the major contributions to the stresses involved were gravitational, viscous, and inter-particles,  
566 which could all be modeled by the DEM-LBM. From previous studies, the mechanisms involved  
567 are the same as those commonly observed in geotechnical strength tests.

568 Parameters such as solid fraction, wall-particle stiffness, and the particle friction  
569 coefficient were studied. From the parameter study, the DEM-LBM model results, with  
570 calibrated parameters, agree with the expected outcomes when the key parameters are varied. For  
571 example, by decreasing the amount of particles in the initial DEM-LBM system, the solid  
572 fraction was decreased, resulting in less significant increase of the viscosity. The study of the  
573 solid fraction showed that the DST only occurs for a certain range of solid fractions. Next, the  
574 variation of the wall-particle stiffness parameter in the DEM showed that the range of shear  
575 stress in which shear thickening occurs could be extended by increasing the wall stiffness, or  
576 boundary confinement. Previous simulations have shown the effects of particle friction and solid  
577 fraction, but the DEM-LBM model presents a new result showing that increasing boundary  
578 stiffness directly increases the shear stress that onsets shear thickening. The inter-particle  
579 friction parameter illustrated that the resistance of the global system depends on the resistance of  
580 the local particles, with lower particle friction lowering the global resistance.

581 By evaluating the DEM-LBM model with the experimental data provided, this paper  
582 presents a model that can simulate the shear-thickening phenomenon and help understand the  
583 mechanisms that cause shear thickening. For example, this model can calculate the individual  
584 contribution of both the solid phase and the fluid phase, which is not possible in the experiment.  
585 Also, this paper shows how the DEM-LBM model could be useful in other applications of  
586 densely packed suspensions where dilation occurs.

587

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